Looking towards the future after the financial market crash in 2008,

In a climate of little oversight

CUBE was founded in 2011 by Ben Richmond, CEO and Founder who is said be driven by a vision of the future of regulatory compliance

For big banks and markets

Privacy, records, cybersecurity, technology risk, and Financial Crime and AML

Regulatory changes

The platform offers new programs just launched

Partners with Compliance,

Benefits

Reduces Manual interface

Issues

Some banks are slow to make a change over as they are tied to legacy processes. There will be more movement to change in the future and that is driven by politics and customer demands.

Now offering to small banks and markets

Boost productivity

Reduce Manual interface

On one side of the coin there will be job loss and job enhancement

US Regulator agencies

Lightfoot Fintech Charter Request

1. **CUBE**

Organization

[](https://www.crunchbase.com/organization/cube-global)

CUBE is a global RegTech provider empowering regulated financial institutions to meet compliance challenges head on. They capture regulatory data from across the globe, process it and transfer it into highly contextualized and meaningful regulatory intelligence for their global customers. Applying leading techniques in machine learning, natural language processing and robotic process automation to make complete sense of regulatory data and tailor it to its customer’s profiles.CUBE is a global RegTech provider empowering regulated financial institutions to meet compliance challenges head on. They capture regulatory data from across the globe, process it and transfer it into highly contextualized and meaningful regulatory intelligence for their global customers. Applying leading techniques in machine learning, natural language processing and robotic process automation to make complete sense of regulatory data and tailor it to its customer’s profiles.

CUBE is a global RegTech provider empowering regulated financial institutions to m…

[New content will be added above the current area of focus upon selection](javascript:void(0);)

[crunchbase.com](https://www.crunchbase.com/organization/cube-global)

COMPET ITROS

STAR COMPLIANCE

MERLON.AI

METRICSTREAM

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**# \*\*FinTech-Case Study 1\*\***

**# \*\*REGULATORY TECH/REGTECH\*\***

**## Insight into the world of RegTech for the Banking and Finance Industry**

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\* My point of interest and discussion is centered around the company named CUBE Global.

\* CUBE was founded in 2011 by Ben Richmond, CEO and Founder, who is said be driven by a vision of the future of regulatory compliance. This vision to found this company and build reglatory programs and platforms came about after the 2008 Financial Crisis occured. This was a demand that was affecting financial sectores worldwide.

\* In a climate of little oversight within big banks and financial markets the requirement to have regulatory compliance implemented became paramount. This need was coupled with the innovation of using technology to enhance the capabilities of the ever changing regulatory climate and implementation of sound compliance practices with efficiency and overhaul of outdated manual practices.

**## BUSINESS ACTIVITIES**

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\* Some of the specific financial problems this company is trying to solve is the adaptation to Regulatory changes and implementation of automation of processes via technology to improve efficiencies.

\* The company's intended customers are for the big banks and financial market that must be in compliance with regulations. Cube began abroad offering services in different locations in Australia, Europe and the Americas.  They are now offering more services to smaller banks and markets. )

\* Regulatory product solutions for Privacy, records, cybersecurity, technology risk, and Financial Crime and AML

\* which technologies are they currently using AI Machine Learning, leaving behind manual tasks

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**## LANDSCAPE**

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\* DOMAIN IS REGTECH

\* The implementation of platforms has grown over the past 10 years, (add in platforms

\* competitors are.....

**## RESULTS**

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**## RECOMMENDATIONS**

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